

**DEPARTMENT OF THE TREASURY**  
**BUREAU OF ALCOHOL, TOBACCO AND FIREARMS**  
**APPLICATION AND PERMIT FOR IMPORTATION OF FIREARMS,**  
**AMMUNITION AND IMPLEMENTS OF WAR**  
**NOT FOR USE BY MEMBERS OF THE UNITED STATES ARMED FORCES**  
*(Submit in triplicate)*

**INSTRUCTION SHEET FOR ATF F 6 PART I (5330.3A)**  
*(Detach this instruction sheet before submitting your application)*

**PAPERWORK REDUCTION ACT NOTICE**

This request is in accordance with the Paperwork Reduction Act of 1995. The purpose of this information collection is to allow ATF to determine if the article(s) described on the application qualifies for importation by the importer, and to serve as the authorization for the importer. This information is mandatory (18 U.S.C. 925(d), 26 U.S.C. 5844, 22 U.S.C. 2778).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

**GENERAL INFORMATION**

1. An approved ATF F 6 - Part I (5330.3A) is required to import firearms, ammunition, and implements of war into the United States or any possession thereof, except for certain exempt importations prescribed in 27 CFR parts 47, 178 and 179. A military member of the U.S. Armed Forces who is on active duty outside the U.S., or who has been on active duty outside the U.S. during the 60-day period immediately preceded the intended importation, should complete ATF F 6 - Part II (5330.3B) to import sporting type firearms or ammunition for his personal use.
2. Any person engaged in the business of importing firearms or ammunition for resale must be licensed as an importer under the Gun Control Act of 1968 and, if he is importing firearms, ammunition, firearms parts or implements of war (*other than sporting shotguns, shotgun shells, or shotgun parts*) he must also be registered as an importer under the Arms Export Control Act of 1976. No permit to import such articles for resale will be issued until the importer is properly licensed and registered.
3. A Federal firearms licensee, other than an importer, may make an occasional importation of sporting firearms or ammunition (*excluding surplus military*) for himself or an unlicensed person in the licensee's State, provided that the firearms and ammunition are intended for personal use of the person for whom imported and not for resale. ATF F 6 - Part I (5330.3A) is used to obtain approval for such importation.
4. A permit is not required for a firearm or ammunition brought into the United States or any possession thereof by any person who can establish to the satisfaction of Customs that such firearm or ammunition was previously taken out of the United States or any possession thereof by such person.
5. A permit is not required for the return of a repaired firearm, or replacement firearm of the same kind and type, to the person in the United States who sent the defective firearm out of the United States for repair.
6. A nonimmigrant alien entering the U.S. temporarily may submit this application to import his personally owned firearms and ammunition. The nonimmigrant alien must attach documentation to the application (*e.g., hunting license or permit lawfully issued in the United States; waiver*) establishing he falls within an exception to or has obtained a waiver from the prohibition on nonimmigrant aliens possessing or receiving firearms. If the application is otherwise in order, the application (*permit*) will be approved on the conditions that it is not unlawful for the individual to possess the firearms and ammunition in the States in which he travels and that the firearms and ammunition will not be disposed of in the United States but taken out of the United States when the individual departs.
7. A nonlicensed person may submit this application to import his personally owned ammunition (*not firearms*) into the U.S. for his personal use and not for resale.
8. A nonresident U.S. citizen returning to the United States or a non-resident alien immigrating to the United States, from a permanent residence outside of the United States may complete and forward the enclosed ATF F 6 Part I permit application without having to utilize the services of a federally licensed firearms dealer. The nonresident should include a statement, either on the application form or on an attached sheet, that the firearms are being imported for his personal use and not for resale and that he is a nonresident U.S. citizen returning to the United States, or is a nonresident alien immigrating to the United States. The firearms must accompany the nonresident on entry into the United States, since once he is in the United States, and has acquired residence in a State, he may not directly import a firearm. If the firearms do not accompany him, either handcarried or in his baggage (*accompanied or unaccompanied*), he must engage the services of a federally licensed firearms dealer in his State of residence to import the sporting firearms (*excluding surplus military*) for him.
9. Under Arms Export Control Act regulations in 27 CFR 47.41(c), a permit is not required for the importation of:
  - a. U.S. Munitions Import List articles from Canada not subject to 27 CFR Part 178 and 179, except articles enumerated in Categories I, II, III, IV, VI(e), VIII(a), XVI, and XX; and nuclear weapons, strategic delivery systems, and all specifically designed components, parts, accessories, attachments, and associated equipment thereof. This leaves only non-GCA and NFA articles in Category VI(a) - (d) for Vessels of War, Category VII for Tanks and Military Vehicles, and Category XIV for Toxicological Agents and Equipment.
  - b. Minor components and parts of Category I(a) firearms, except barrels, cylinders, receivers (frames) or complete breech mechanisms, when the total value does not exceed \$100 wholesale in any single transaction. The \$100 wholesale value supersedes the previous dollar threshold of \$500 wholesale in any single transaction.
10. If a broker will be employed to facilitate importation, the name and address of that broker must be included in item 4. A broker means any person who acts as an agent for others in negotiating or arranging contracts, purchases, sales or transfers of defense articles or defense services in return for a fee, commission, or other consideration. NOTE: Arms Export Control Act regulations in 22 CFR Part 129 require, with certain exceptions, the registration and licensing of brokers. Questions about such registration and licensing should be directed to the Department of State, Office of Defense Trade Controls, (703) 875-6644.
11. The applicant shall prepare this form in triplicate. Required signatures must be in ink on all copies. Other entries must be in ink or be typewritten.

**PREPARATION**

*(INSTRUCTIONS CONTINUED ON REVERSE)*

12. The application should be submitted approximately 60 days prior to the intended importation. All copies shall be submitted to:

Director  
Bureau of Alcohol, Tobacco and Firearms  
Washington, D.C. 20226  
Attention: Firearms and Explosives Imports Branch

13. Any questions concerning the application should be referred to the Imports Branch at the above address or telephone (202) 927-8320.
14. If a licensee is applying to import an article for subsequent transfer to a known final recipient (*e.g., an individual, commercial entity, or government agency*), the licensee must complete items 1 through 15. The following identifying information on the final recipient must be shown in item 10: name, address, telephone and fax numbers, country of residence, citizenship, signature, and if a commercial entity or government agency, name and title of that organization's representative. A separate sheet of paper may be attached to the application if necessary.
15. Item 9, Certification of Origin: Importers must check both blocks in subsection A *OR* the block in subsection B. If item 9, is left blank, the form will be returned without action. The certificate of origin provides information which may affect the import status of defense articles and which is necessary to the Department of State in its review of certain applications coming within its purview under the import provisions of the Arms Export Control Act.

#### APPROVAL

16. The Director will approve the application or advise the applicant of the reason for the disapproval. In some cases it may be necessary to request additional information or to have the firearm or ammunition sent to ATF for examination to determine the import status.
17. The permit is valid for 12 months from the date of approval. The approved application will serve as the permit to import the article(s) described on the form.
18. After approval, the Director will retain two copies and forward the original to the applicant or his designated agent, along with copies of ATF F 6A, Release and Receipt of Imported Firearms, Ammunition and Implements of War.

#### RELEASE FROM CUSTOMS

19. No amendments or alterations may be made to an approved permit, except by the Director.
20. An approved ATF F 6 - Part I (5330.3A) which is unused, expired suspended or revoked shall be returned immediately to the Director, Bureau of ATF, Washington, D.C. 20226, Attention: Firearms and Explosives Imports Branch.
21. The ATF F 6A, with Section I completed, the approved permit, and any other necessary documents, (*including, in the case of a nonimmigrant alien, documentation establishing the nonimmigrant falls within an exception to or has obtained a waiver from the prohibition on*

*nonimmigrant aliens possessing or receiving firearms*) must be presented to the U.S. Customs Service officials handling the importation to effect release of the articles. For the commercial import (*i.e., import for resale*) of firearms, firearms parts and components, and ammunition, the importer also must present to Customs in order to effect release of the articles either a corresponding export license issued by the exporting country or a statement, under penalty of perjury, that the exporting country does not issue export licenses.

22. The Customs officer, after determining that the importation is in order, will execute the certificate of release on ATF F 6A.
23. The Customs officer will forward the ATF F 6A to the address shown on the form and return the permit and any additional copies of ATF F 6A, to the applicant.
24. A nonimmigrant alien bringing firearms or ammunition into the United States who is exempt from needing an approved ATF F 6 - Part I (5330.3A) pursuant to 178.115(d) still must provide Customs with documentation establishing the nonimmigrant falls within an exception to or has obtained a waiver from the prohibition on nonimmigrant aliens possessing and receiving firearms.

#### PROHIBITED PERSONS UNDER U.S. LAW

25. The importer of a firearm should be familiar with provisions of law governing who may lawfully possess a firearm in the United States. Generally, 18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who has been convicted of a crime punishable by imprisonment for a term exceeding one year; by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his or her U.S. citizenship; by one who is an alien illegally in the United States or is a nonimmigrant alien; by one who is subject to certain restraining orders; or by one who has been convicted of a misdemeanor crime of domestic violence. Furthermore, section 922 prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a crime punishable by imprisonment for a term exceeding one year.

#### FORMS

26. Federal firearms licensees must retain this form as part of their ATF required records for at least the 20-year period prescribed by 27 CFR 178.129(c). Importers registered under the Arms Export Control Act who do not also hold a Federal firearms license must retain this form as part of their ATF required records for at least the 6-year period prescribed by 27 CFR 47.34(b).

Additional Forms are available from:

ATF  
Distribution Center  
P.O. Box 5950  
Springfield, VA 22150-5950

#### PRIVACY ACT INFORMATION

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(a)(e)(3)).

1. **AUTHORITY.** Disclosure of the information requested on ATF F 6 Part I (5330.3A) is mandatory pursuant to 18 U.S.C. 925 and Section 38 of the Arms Export Control Act of 1976 (22 U.S.C. 2778, 26 U.S.C. 5844) to obtain a permit to import firearms, ammunition, and implements of war.
2. **PURPOSE.** To determine if the article(s) qualifies for importation by the applicant.
3. **ROUTINE USES.** The information will be used by ATF to make determinations set forth in paragraph 2. In addition, information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the enforcement and regulation of firearms and/or ammunition where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application when such disclosure is not prohibited by law.
4. **EFFECTS.** Failure to supply complete information will delay processing and may cause denial of the application.

<b>DEPARTMENT OF THE TREASURY</b> <b>BUREAU OF ALCOHOL, TOBACCO AND FIREARMS</b> <b>APPLICATION AND PERMIT FOR IMPORTATION OF FIREARMS,</b> <b>AMMUNITION AND IMPLEMENTS OF WAR</b> <b>NOT FOR USE BY MEMBERS OF THE UNITED STATES ARMED FORCES</b> <i>(Submit in triplicate)</i>	<b>FOR ATF USE ONLY</b> PERMIT NO.  VALID FOR 12 MONTHS AFTER THE DATE OF APPROVAL (ITEM 18 BELOW)
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**SECTION I - APPLICATION**

1. FEDERAL FIREARMS LICENSE <i>(If Any)</i>		2. TELEPHONE NO.	3. COUNTRY OF EXPORTATION
LICENSE NO.	EXPIRATION DATE		
4. NAME AND ADDRESS OF BROKER, if any <i>(Including ZIP Code)</i>		5. APPLICANT'S NAME AND ADDRESS <i>(Including ZIP Code)</i>	
<input type="checkbox"/> Check here if permit is to be returned to broker.		<input type="checkbox"/> Check here if permit is to be returned to applicant.	
6. NAME AND ADDRESS OF FOREIGN SELLER, if any		7. NAME AND ADDRESS OF FOREIGN SHIPPER	

8. DESCRIPTION OF FIREARMS AND AMMUNITION *(For firearms, enter (SG) - Shotgun; (RI) - Rifle; (PI) - Pistol; (RE) - Revolver)*

	NAME AND ADDRESS OF MANUFACTURER a	TYPE (SG), (RI), (PI), (RE) b	CALIBER GAUGE OR SIZE c	QUANTITY (Each type) d	UNIT COST e	US MIL CATEGORY f	MODEL (MFRS) DESIGN g	LENGTH OF BARREL h	OVERALL LENGTH (Inches) i	SERIAL NO. j	NEW (N) OR USED (U) k
FIREARMS											
IMPLEMENTS OF WAR											
AMMUNITION		(Ball Wad- cutter, Shot, etc.)					9. CERTIFICATION OF ORIGIN. The items sought for importation in block 8: a. <input type="checkbox"/> Do not contain U.S. manufactured parts or components; and <input type="checkbox"/> Do not contain foreign manufactured parts or components that were manufactured with U.S. technical data or assistance; or b. <input type="checkbox"/> Contain U.S. manufactured parts or components and/or foreign manufactured parts or components that were manufactured with U.S. technical data or assistance;				

10. SPECIFIC PURPOSE OF IMPORTATION *(Use additional sheets, if necessary)*

11. ARE YOU REGISTERED PURSUANT TO THE ARMS EXPORT CONTROL ACT OF 1976 <input type="checkbox"/> YES <input type="checkbox"/> NO	12. IF "YES", GIVE REGISTRATION NO. AND EXPIRATION DATE
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Under the penalties provided by law, I declare that I have examined this application, including the documents submitted in support of it, and, to the best of my knowledge and belief, it is true, correct, and complete.

13. SIGNATURE OF APPLICANT	14. TITLE	15. DATE
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**SECTION II - FOR ATF USE ONLY** *(Please make no entries in this section)*

16. THE APPLICATION HAS BEEN EXAMINED AND THE IMPORTATION OF THE FIREARMS, AMMUNITION, AND IMPLEMENTS OF WAR DESCRIBED HEREIN IS:	
<input type="checkbox"/> APPROVED  <input type="checkbox"/> PARTIALLY APPROVED FOR THE REASON INDICATED HERE OR ON ATTACHED LETTER  <input type="checkbox"/> DISAPPROVED FOR THE REASON INDICATED HERE OR ON ATTACHED LETTER	<input type="checkbox"/> WITHDRAWN BY APPLICANT WITHOUT ACTION  <input type="checkbox"/> RETURNED WITHOUT ACTION FOR ADDITIONAL INFORMATION

17. SIGNATURE OF THE DIRECTOR, BUREAU OF ALCOHOL, TOBACCO AND FIREARMS	18. DATE
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